

01/30/02

10060521-013002



02-01-02

Approved for use through 09/30/2000. OMB 0651-0032

Please type a plus sign (+) inside this box → ☐

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

UTILITY PATENT APPLICATION TRANSMITTAL		Attorney Docket No.	PC11013A
		First Inventor	John P. Mueller
		Title	HarA Polypeptides and Nucleic Acids, and Related Methods and Uses Thereof
		Express Mail Label No.	EL625571291US

(Only for new nonapplications under 37C.F.R. §1.53(b))

APPLICATION ELEMENTS	ADDRESS TO:
See MPEP chapter 600 concerning utility patent application contents.	Commissioner for Patents Box Patent Application Washington, DC 20231

1. <input checked="" type="checkbox"/> *Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original, and a duplicate for fee processing)	7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or computer Program (Appendix)	
2. <input type="checkbox"/> Applicant claims small entity status See 37 CFR 1.27	8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)	
3. <input checked="" type="checkbox"/> Specification [Total Pages <input type="text" value="51"/> ] (preferred arrangement set forth below) <ul style="list-style-type: none"><li>- Descriptive title of the Invention</li><li>- Cross References to Related Applications</li><li>- Statement Regarding Fed sponsored R&amp;D</li><li>- Reference to sequence listing, a table, or a computer program listing appendix</li><li>- Background of the Invention</li><li>- Brief Summary of the Invention</li><li>- Brief Description of the Drawings (if filed)</li><li>- Detailed Description</li><li>- Claim(s)</li><li>- Abstract of the Disclosure</li></ul>	a. <input checked="" type="checkbox"/> Computer Readable Copy (CRF) b. Specification Sequence Listing on: <ul style="list-style-type: none"><li>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies)</li><li>ii. <input checked="" type="checkbox"/> Paper</li></ul> c. <input checked="" type="checkbox"/> Statement verifying identity of above copies	
4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total sheets <input type="text" value="8"/> ]	<b>ACCOMPANYING APPLICATION PARTS</b>	
5. <input checked="" type="checkbox"/> Oath or Declaration [Total pages <input type="text" value="1"/> ] <ul style="list-style-type: none"><li>a. <input checked="" type="checkbox"/> Newly executed (original or copy)</li><li>b. <input type="checkbox"/> Copy from a prior application (37 CFR §1.63(d)) (for continuation/divisional with Box 18 completed)<ul style="list-style-type: none"><li>i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</li></ul></li></ul>	9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))	
6. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76	10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input checked="" type="checkbox"/> Power of Attorney (when there is an assignee)	
	11. <input type="checkbox"/> English Translation Document (if applicable)	
	12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations	
	13. <input type="checkbox"/> Preliminary Amendment	
	14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)	
	15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)	
	16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.	
	17. <input type="checkbox"/> Other:	

18. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37CFR 1.76.

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: \_\_\_\_\_

Prior-application information: Examiner \_\_\_\_\_ Group/Art Unit: \_\_\_\_\_

For **CONTINUATION OR DIVISIONAL APPS** only. The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts

**18. CORRESPONDENCE ADDRESS**

☒ Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here) or ☐ Correspondence address below

23913

Name	Address			City	State	Zip Code
Country	Telephone		Fax			

NAME (Print/type)	Jeffrey N. Myers	Registration No. (Attorney/Agent)	41,213
Signature		Date	January 30, 2002

**FEE TRANSMITTAL  
For FY 2001***Patent Fees are subject to annual Revision***Total Amount of Payment** (\$1076.00)**Complete if Known**

Application Number

Filing Date

January 30, 2002

First Named Inventor

John P. Mueller

Examiner Name

Group/Art Unit

Attorney Docket No.

PC11013A

**METHOD OF PAYMENT**1. ☒

The commissioner is hereby authorized to charge

Indicated fees and credit any over payments to:

16-1445

Deposit  
Account  
NumberDeposit  
Account  
Name

Pfizer Inc

☒Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17☐

Applicant claims small entity status.

☐

See 37 CFR 1.27

☐

Payment Enclosed:

☐

Check

☐ Credit card☐ Money Order☐ Other**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	740	201	355	Utility filing fee	740
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Filing fee	
<b>SUBTOTAL (1)</b>					<b>740</b>

**2. EXTRA CLAIM FEES**

		Extra Claims		Fee from below		Fee Paid
Total Claims	17	-20**=	0	X	=	
Independent Claims	7*	-3**=	4	X	84	= 336
Multiple Dependent						=
Large Entity		Small Entity				
Fee Code	Fee	Fee code	Fee	Fee Description		
103	18	203	9	Claims in excess of 20		
102	84	202	42	Independent claims in excess of 3		
104	280	204	140	Multiple dependent claim, if not paid		
109	80	209	42	**Reissue independent claims over original patent		
110	18	210	9	**Reissue claims in excess of 20 and over original patent		
SUBTOTAL (2)				(\$)	336.00	

\*\* or number previously paid, if greater; For Reissues, see above

**3. ADDITIONAL FEES**

Fee Code	Large Entity Fee (\$)	Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late fee or oath	
127	50	227	25	Surcharge-late filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355	Request for Continued Examination(RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

**Subtotal (3)**

0

**SUBMITTED BY***Complete (if applicable)*

Type or Printed Name

Jeffrey N. Myers

Registration No.  
(Attorney/Agent)

41,213

Telephone

212-733-5061

Signature \*



Date

January 30, 2002

CERTIFICATE OF MAILING EXPRESS MAIL

PFIZER DOCKET NUMBER: PC11013A

APPLICATION NUMBER: Unassigned

TITLE: HarA Polypeptides and Nucleic Acids, and Related Methods and Uses  
Thereof

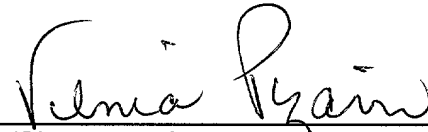
APPLICANTS: John P. Mueller, et al.

Express Mail mailing label number EL625571291US

Date of Deposit: January 30, 2002

I hereby certify that this correspondence is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" service under 37 C.F.R.1.10 on the date indicated above and is addressed to Commissioner for Patents, Box Patent Application, Washington, DC 20231.

By: \_\_\_\_\_

  
(Signature of person mailing)

Vilma Pizarro

\_\_\_\_\_  
(Typed or printed name of person)

Pfizer Inc.  
Patent Department, 5th Floor  
150 East 42nd Street  
New York, NY 10017-5612

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Mueller, et al. :

APPLICATION NO.: Not Yet Known : Examiner:

FILING DATE: January 30, 2002 : Group Art Unit:

TITLE: HAR A POLYPEPTIDES AND NUCLEIC :  
ACIDS, AND RELATED METHODS AND  
USES THEREOF

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

**Statement under 37 C.F.R. 1.825**

Pursuant to 37 C.F.R. 1.825 (b), attorney for applicants states that the copy of the Sequence Listing in Computer Readable Form is the same as the substitute paper copy of the Sequence Listing submitted herewith. Pursuant to 37 C.F.R. 1.825 (d), attorney for applicants states further, that the substitute Sequence Listing data is identical to that originally filed.

Date: January 30, 2002



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